A1049

Name.....

Register No.....

NAIPUNNYA INSTITUTE OF MANAGEMENT AND INFORMATION TECHNOLOGY (AUTONOMOUS)

FIRST SEMESTER (FYUG) DEGREE EXAMINATION NOVEMBER 2025

MULTI-DISCIPLINARY COURSE

COM1FM105(2) - STOCK MARKET FUNDAMENTALS

Time:One Hour and a Half | Maximum:50 marks

Section A

Answer the following

2 marks each, 10x2=20 with a ceiling of 16 marks

1. What does EIC in EIC analysis stand for? CO2
2. Mention two modes of investment in the stock market. CO1
3. What is the purpose of a nominee in a trading account? CO1
4. List two features of mutual funds. CO1
5. What is technical analysis? CO2
6. Define secondary market. CO2
7. Define a lump sum investment. CO3
8. What does OHLC stand for in stock analysis? CO2
9. Define stock selection in investment. CO2
10. Name any two KYC documents required to open a demat account. CO1

Section B

Answer the following

6 marks each, 5x6=30 with a ceiling of 24 marks

11. Discuss the benefits and limitations of investing through direct vs. regular mutual funds. CO3
12. Analyze the types of mutual funds based on structure with examples. CO3
13. Evaluate the relevance of Power of Attorney (PoA) and DDPI in trading accounts. CO1
14. Describe the steps involved in applying for an IPO through ASBA and UPI. CO1
15. Evaluate the importance of NAV in mutual fund investment decisions. CO3

Turn Over

Section C

Answer any one of the following

10 marks each

16. Discuss comprehensively the types of mutual funds based on structure, objective, and investment style. CO3

17. Design an investment plan for a 30-year-old investor choosing between lump sum and SIP investments. Justify your plan. CO4

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